



02-04-02 min

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#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re. U.S. Application of: Manabu NISHIZAWA, et al.

Filed: November 20, 2001

Serial No.: 09/989,751

For: OBJECT CONTROLLING METHOD

Attention : Application Branch

SUBMISSION OF MISSING PARTS

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

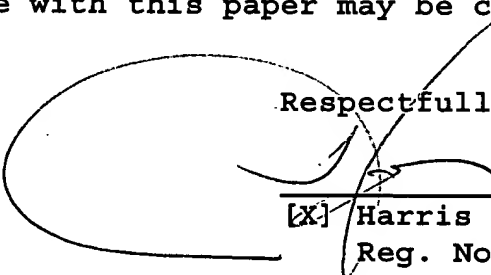
SIR:

We have received a Notice to File Missing Parts of Application dated December 4, 2001 a copy of which is attached. In reply thereto enclosed please find the following items:

- ☒ 1. Declaration;
- ☒ 2. Return post card;
- ☒ 3. Notice to File Missing Parts of Application;


Any fee due with this paper may be charged to Deposit Acct. No. 50-1290.

Respectfully submitted,

  
[X] Harris A. Wolin  
Reg. No.: 39,432

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TELEPHONE: (212) 940-8800  
FEBRUARY 1, 2002  
Docket No.: 100809-00092 (SCEY 19.188)  
ejh:Mispart.

ANY FEE DUE WITH THIS PAPER, NOT FULLY  
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Filed by Express Mail  
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by 

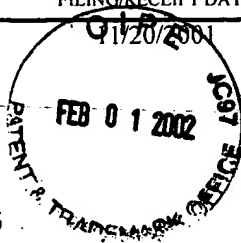


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/989,751	01/20/2001	M. Nishizawa	SCEY 19.188

026304  
ROSENMAN & COLIN LLP  
575 MADISON AVENUE  
NEW YORK, NY 10022-2585



CONFIRMATION NO. 9662

## FORMALITIES LETTER



\*OC000000007153726\*

Date Mailed: 12/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$84.  
    ■ \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 954.**

02/20/2002 TV0111 00000005 501290 09989751

01 FC:101	740.00 CH
02 FC:102	84.00 CH
03 FC:105	130.00 CH

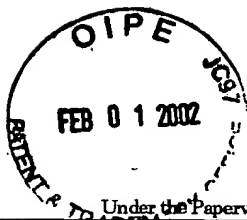
*A copy of this notice MUST be returned with the reply.*

R.B.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



# 3

PTO/SB/106 (5-00)

Approved for use through 10/31/02. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## Declaration and Power of Attorney For Patent Application

### 特許出願宣言書及び委任状

### Japanese Language Declaration

### 日本語宣言書

私は、以下に記名された発明者として、ここに下記の通り宣言する：

As a below named inventor, I hereby declare that:

私の住所、郵便の宛先そして国籍は、私の氏名の後に記載された通りである。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明について、特許請求範囲に記載され、且つ特許が求められている発明主題に関して、私は、最初、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初、最先且つ共同発明者である（複数の氏名が記載されている場合）と信じている。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### OBJECT CONTROLLING METHOD

上記発明の明細書はここに添付されているが、下記の欄がチェックされている場合は、この限りではない：

the specification of which is attached hereto unless the following box is checked:

☐ \_\_\_\_\_ の日に出願され、  
この出願の米国出願番号または PCT 国際出願番号は、  
\_\_\_\_\_ であり、且つ  
\_\_\_\_\_ の日に補正された出願（該当する場合）

☒ was filed on November 20, 2001  
as United States Application Number or  
PCT International Application Number  
09/989,751 and was amended on  
\_\_\_\_\_ (if applicable).

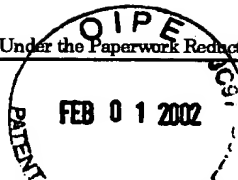
私は、上記の補正書によって補正された、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに表明する。

I hereby state that I have reviewed and understand the contents of the above identified specification including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第 37 編規則 1.56 に定義されている、特許性について重要な情報を開示する義務があることを認める。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the need of the individual case. Any comments on the amount of time you are required to complete this form should be sent to Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DONOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patent and Trademarks, Washington, DC 20231.



## Japanese Language Declaration (日本語宣言書)

私は、ここに、以下に記載した外国での特許出願または発明者証の出願、或るいは米国以外の少なくとも一国を指定している米国法典第35編第365条(a)によるPCT国際出願について、同第119条(a)-(d)項又は第365条(b)項に基づいて優先権を主張するとともに、優先権を主張する本出願の出願日より前の出願日を有する外国での特許出願または発明者証の出願、或いはPCT国際出願については、いかなる出願も、下記の枠内をチェックすることにより示した。

I hereby claim foreign priority under Title 35, United States Code, Section 119(a) - (d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

### Prior Foreign Application(s)

外国での先行出願

2000-378542

JP

13/12/2000

Priority Not Claimed

優先権主張なし

☐

(Number)  
(番号)

(Country)  
(国名)

(Day/Month/Year Filed)  
(出願日/月/年)

2001-240113

JP

08/08/2001

☐

(Number)  
(番号)

(Country)  
(国名)

(Day/Month/Year Filed)  
(出願日/月/年)

私は、ここに、下記のいかなる米国仮特許出願についても、その米国法典第35編119条(e)項の利益を主張する。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

私は、ここに、下記のいかなる米国出願についても、その米国法典第35編第120条に基づく利益を主張し、又米国を指定するいかなるPCT国際出願についても、その同第365条(e)に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が、米国法典第35編第112条第1段に規定された態様で、先行する米国出願又はPCT国際出願に開示されていない場合においては、その先行出願の出願日と本国内出願日またはPCT国際出願日との間の期間中に入手された情報で、連邦規則法典第37編規則1.56に定義された特許性に関わる重要な情報について開示義務があることを承認する。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Status: Patented, Pending, Abandoned)  
(現況: 特許許可、係属中、放棄)

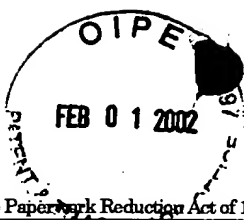
(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Status: Patented, Pending, Abandoned)  
(現況: 特許許可、係属中、放棄)

私は、ここに表明された私自身の知識に係わる陳述が真実であり、且つ情報と信ずることに基づく陳述が、真実であると信じられることを宣言し、さらに、故意に虚偽の陳述などを行った場合は、米国法典第18編第1001条に基づき、罰金または拘束、若しくはその両方により処罰され、またそのような故意による虚偽の陳述は、本出願またはそれに対して発行されるいかなる特許も、その有効性に問題が生ずることを理解した上で陳述が行われたことを、ここに宣言する。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



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**Japanese Language Declaration**  
(日本語宣言書)

委任状: 私は本出願を審査する手続を行い、且つ米国特許商標庁との全ての業務を遂行するために、記名された発明者として、下記の弁護士及び/または弁理士を任命する。(氏名及び登録番号を記載すること)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and /or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list names and registration number)

Aaron B. Keras, #18,923; Samson Helfgott, #23,072; Leonard Cooper, #27,625; Linda S. Chen, #42,400; Brian S. Myers, #46,947; Harris A. Wolin, #39,432; Michael Markowitz, #30,659; SHAHAN ISLAM #32,507

書類送付先:

Send Correspondence to:

Rosenman & Colin LLP  
575 Madison Avenue  
New York, NY 10022-2585

直接電話連絡先: (名前及び電話番号)

Direct Telephone Calls to: (name and telephone number)

Samson Helfgott (212) 940-8800

Docket: SCEY 19.188

唯一または第一発明者氏名

Full name of sole or first inventor

Manabu NISHIZAWA

発明者の署名

日付

Inventor's signature

Date

Manabu Nishizawa

January 17, 2002

住所

Residence

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Citizenship

Japanese

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第二共同発明者がいる場合、その氏名

Full name of second joint inventor, if any

Takayuki WAKIMURA

第二共同発明者の署名

日付

Second inventor's signature

Date

Takayuki Wakimura

January 17, 2002

住所

Residence

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国籍

Citizenship

Japanese

郵便の宛先

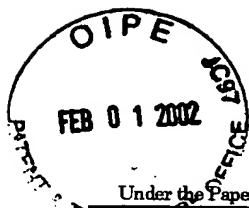
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(第三以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)



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Direct Telephone Calls to: (name and telephone number)

Samson Helfgott (212) 940-8800

Docket: SCEY 19.188

唯一または第一発明者氏名

Full name of sole or first inventor  
Fumiteru SATO

発明者の署名

日付

Inventor's signature

Date

Fumiteru Sato

January 17, 2002

住所

Residence

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Citizenship

Japanese

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Post Office Address

1-1, Akasaka 7-chome, Minato-ku

Tokyo 107-0052 Japan

第二共同発明者がいる場合、その氏名

Full name of second joint inventor, if any

第二共同発明者の署名

日付

Second inventor's signature

Date

住所

Residence

国籍

Citizenship

郵便の宛先

Post Office Address

(第三以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)